

Confidential Client Profile

Client Name:

Adviser Name:

For us to be able to advise you on the merits or otherwise of transferring some or all of your existing pension arrangements to new arrangements, we need background information, in particular information about those arrangements and your intentions as to your retirement, but also any other general information that has a bearing on such advice. Please therefore complete the following as accurately and comprehensively as possible.

Our advice will be based **only** on the information you provide; if any relevant information is not provided, or is inaccurate, this could materially affect the value of our advice.

The scope of our advice based on this fact find is limited to a review of your existing pension arrangements, and recommendations as to future action, if any, such as a pension transfer. In relation to any future action, any recommendation based on this fact find is limited to the nature of the pension arrangement recommended, and its benefit structure.

Our advice is not intended to, and does not provide you with a comprehensive financial planning review, nor will it provide you with tax advice or on advice on investments within any arrangements you may transfer your pension to.

If you would like to have a full financial review covering your financial arrangements and objectives and/or further advice on investments (either within a future pension arrangement or otherwise), please contact your usual adviser.

About you and your pension arrangements

Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="text"/>	
Family name <input type="text"/>	Forenames <input type="text"/>			
Date of birth <input type="text"/>	Nationality <input type="text"/>			
Single <input type="checkbox"/>	Married /Civil Partnership <input type="checkbox"/>	Widowed <input type="checkbox"/>	Divorced <input type="checkbox"/>	
Country of residence <input type="text"/>	UK National Insurance no. <input type="text"/>			
Date of move overseas <input type="text"/>				
Health: Excellent <input type="checkbox"/>	Good <input type="checkbox"/>	Average <input type="checkbox"/>	Poor <input type="checkbox"/>	Very poor <input type="checkbox"/>
Registered with HMRC as non-resident through Form P85: Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Address <input type="text"/>	Email <input type="text"/>			
	Mobile <input type="text"/>			
	Home phone <input type="text"/>			
Spouse / partner name <input type="text"/>				
Spouse's / Partner's date of birth <input type="text"/>				
Children/dependents (and ages) <input type="text"/>				

Your existing pension arrangements

Please record the pension schemes in which you have accrued benefits, including those in which you are still accruing benefits (including receiving and/or making contributions into such schemes).

Scheme / Provider	Address
<input type="text"/>	<input type="text"/>
Policy / reference no.	
<input type="text"/>	Details of benefits taken (if any)
Current approximate value	
<input type="text"/>	<input type="text"/>
Do you want this arrangement considered?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Scheme / Provider	Address
<input type="text"/>	<input type="text"/>
Policy / reference no.	
<input type="text"/>	Details of benefits taken (if any)
Current approximate value	
<input type="text"/>	<input type="text"/>
Do you want this arrangement considered?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Scheme / Provider	Address
<input type="text"/>	<input type="text"/>
Policy / reference no.	
<input type="text"/>	Details of benefits taken (if any)
Current approximate value	
<input type="text"/>	<input type="text"/>
Do you want this arrangement considered?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Scheme / Provider	Address
<input type="text"/>	<input type="text"/>
Policy / reference no.	
<input type="text"/>	Details of benefits taken (if any)
Current approximate value	
<input type="text"/>	<input type="text"/>
Do you want this arrangement considered?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Pension objectives

Please set out your retirement intentions and pensions objectives here, providing as much relevant information as possible.

Do you plan or intend to move from your current country of residence? If yes, where to and when?

At what age do you propose to take benefits (55 – 59, 60 – 64, 65+)?

Which of the following considerations are important to you? Yes No

- | | | |
|---|--|---|
| <ul style="list-style-type: none"> • Flexibility of drawdown options • Maximising lump sum from a pension scheme • Maximising pension from a pension scheme • Your fund running out (other retirement income available?) • A guaranteed and known amount of pension for life • Tax considerations • Understanding and controlling your investments
(Possibly through an investment adviser) • Passing on fund after death | <p>Yes</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> | <p>No</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> |
|---|--|---|

If 'yes', then to whom?

Other considerations: please consider other matters that might affect your choice of pension scheme (other than investment performance/risk); for example: do you have other savings or other sources of income for example: your partner's pension) that you expect to fund your retirement?

Do you expect to continue to work into your retirement?

Data protection

By signing this document, you consent to IPTS processing your personal data for the purposes of providing pensions advice and administration. "Processing" includes (without limitation) obtaining, recording, carrying out operations, holding, analysing, passing to organisations associated for the purposes of pension advice provision, pension providers, statutory governmental and regulatory bodies for legitimate purposes.

If at any time you wish us or any associated organisation to cease processing your personal data, please contact us at 8, Ctra Moraira - Teulada 62, C.C. Barclays, 03724 Moraira, Alicante, Spain. We and any company associated with us will treat all personal data as confidential and will not process it other than for a legitimate purpose.

Client Declaration

I confirm that to the best of my knowledge all the information that I have provided is correct, and complete for the purpose for which it is intended. I have provided this information on the understanding that it is to be used to provide the basis of pensions advice and recommendations, and that I am not under any obligation to take up any particular recommendation.

I confirm I am aware that if I have not disclosed relevant financial /pensions information, or if the information provided is inaccurate, then this may mean that any advice and/or recommendation that I may receive may be less appropriate for me than it would have been if the information had been complete (or accurate, as the case may be).

Signed

Date

Place of signing

Full name (in capitals)